

# Curvature in Hamiltonian Mechanics

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## The story begins with Hamilton in 1837

- ▶ In the paper **W. R. Hamilton, Trans. Roy. Irish Acad., 17, 1–144 (1837)** he considered infinitesimal perturbations of the eikonal (Hamilton-Jacobi) equation. This would describe the propagation of nearby rays.
- ▶ These ideas have been extended by L. Klimes, *Journal of Electromagnetic Waves and Applications*, 27,1589(2013).
- ▶ Some decades later Riemann discovered the notion of curvature
- ▶ Jacobi found the equation for infinitesimal perturbation of geodesics: the geodesic deviation equation.

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# Riemannian Geometry is a Special Case of Hamiltonian Mechanics

- ▶ Geodesics are the solutions of Hamilton's equations with  $H = \frac{1}{2}g^{ij}p_i p_j$
- ▶ Is there a generalization of Riemannian geometry to more general hamiltonians?
- ▶ We want notions of volume, distance and curvature.
- ▶ Boltzmann gives a natural volume measure on phase space:  $e^{-H}d^n p d^n q$ . It reduces to Riemannian volume measure on configuration space in that special case:

$$d^n q \int e^{-\frac{1}{2}g^{ij}p_i p_j} \frac{d^n p}{(2\pi)^{\frac{n}{2}}} = \sqrt{g}d^n q$$

- ▶ The "reduced action" or "eikonal"  $\sigma_E(Q, Q') = \int_Q^{Q'} p_i dq^i$  along a trajectory of energy  $E$  is a generalization of distance. **But**, in general it depends on  $E$ ; is not positive; or a symmetric function  $\sigma(Q, Q') \neq \sigma(Q', Q)$ .
- ▶ Curvature is hardest to generalize.

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# Curvature Depends on Choice of Lagrangian Submanifold

- ▶ There is a no notion of curvature in symplectic geometry: Darboux says there are local co-ordinates with  $\omega = dp_i \wedge dq^i$
- ▶ Even given a hamiltonian there cannot be a notion of curvature: Birkhoff says that near a stable equilibrium point  $H(q, p) = \frac{1}{2} \sum_k [p_k + \omega_k^2 q_k^2] + O(N)$  for any  $N \geq 3$ .
- ▶ Given a manifold  $\Gamma$ , a symplectic form  $\omega$  on it, a hamiltonian  $H$  **and** a Lagrangian sybmanifold  $M$ , we will find a a notion of curvature.
- ▶ Curvature is a local notion. So we can identify  $\Gamma = T^*M$  and use canonical co-ordinates.

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## Second Variation of Action

- ▶ The solutions of Hamilton's equation (orbits) are extrema of the action

$$S = \int p_i \dot{q}^i dt - \int H(q(t), p(t)) dt$$

- ▶ An infinitesimal deviation of an orbit  $q^i \mapsto q^i + \epsilon \xi^i$ ,  $p_i \mapsto p_i + \epsilon \pi_i$  satisfies the associated equations

$$\dot{\xi}^i = H_j^i \xi^j + H^{ij} \pi_j, \quad \dot{\pi}_i = -H_{ij} \xi^j - H_i^j \pi_j$$

where  $H^{ij} = \frac{\partial H}{\partial p_i \partial p_j}$ ,  $H_j^i = \frac{\partial H}{\partial p_i \partial q^j}$ ,  $H_{ij} = \frac{\partial^2 H}{\partial q^i \partial q^j}$ .

- ▶ These are extrema of the second variation:

$$\mathcal{S} = \int [\pi_i \dot{\xi}^i - \mathcal{H}] dt, \quad \mathcal{H} = \frac{1}{2} [H_{ij} \xi^i \xi^j + 2H_i^j \xi^i \pi_j + H^{ij} \pi_i \pi_j]$$



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## Lagrangian Version of the Second Variation

- ▶ We will assume from now on that the second derivative of  $H$  w.r.t.  $p$  has an inverse :

$$H^{ij} G_{jk} = \delta_k^i$$

- ▶ This  $G_{jk}(q, p)$  depends on both position and momentum! In Riemannian geometry, it reduces to the metric tensor.
- ▶ Then we can eliminate  $\pi_i$  to get a “Lagrangian” version of the action for deviations

$$\mathcal{S}_1 = \int \left[ \frac{1}{2} G_{ij} \dot{\xi}^i \dot{\xi}^j - \dot{\xi}^i \xi^j G_{ik} H_j^k + \frac{1}{2} \xi^i \xi^j \left\{ -H_{ij} + H_i^k H_j^l G_{kl} \right\} \right] dt$$

- ▶ Although  $H^{ij}$  and  $G_{jk}$  transform as tensors,  $H_j^i$  and  $H_{ij}$  do not. For example, if we transform  $q^i \rightarrow \tilde{q}^i(q), \tilde{p}_i = \frac{\partial q^k}{\partial \tilde{q}^i} p_k$ ,

$$\tilde{H}_j^i = \frac{\partial q^b}{\partial \tilde{q}^j} \frac{\partial \tilde{q}^i}{\partial q^a} H_b^a + \frac{\partial q^c}{\partial \tilde{q}^j} \frac{\partial^2 \tilde{q}^i}{\partial q^c \partial q^a} H^a + \frac{\partial q^c}{\partial \tilde{q}^j} \frac{\partial \tilde{q}^i}{\partial q^a} \frac{\partial^2 \tilde{q}^k}{\partial q^c \partial q^b} H^{ab} \tilde{p}_k$$

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## Covariant Time Derivative

- ▶ By explicit calculation, we can verify that the following quantity transforms as a tensor:

$$\overset{\circ}{\xi}^k = \dot{\xi}^k + \gamma_j^k \xi^j$$

where

$$\gamma_j^k = \frac{1}{2} \left[ -H_j^k + H^{km} G_{jl} H_m^l + H^{kl} \dot{G}_{lj} \right]$$

- ▶ We do **not** attempt to define a covariant derivative in a general direction, only along the orbit.
- ▶ The second variation can be written as (after some integration by parts etc.)

$$\mathcal{S}_1 = \int \left[ \frac{1}{2} G_{ij}(q, p) \overset{\circ}{\xi}^i \overset{\circ}{\xi}^j - \frac{1}{2} \xi^i \xi^j \mathcal{R}_{ij}(q, p) \right] dt$$

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# Curvature

- ▶ The tensor  $\mathcal{R}_{ij}(q, p)$  appearing here is the generalization of the Riemann tensor. By explicit calculation, we see that it depends on up to four derivatives of the hamiltonian:

$$\mathcal{R}_{ij} = G_{kl} \gamma_i^k \gamma_j^l - \frac{1}{2} \left\{ H, G_{ik} H_j^k + G_{jk} H_i^k \right\} \\ - \frac{1}{2} \left\{ H, \{H, G_{ij}\} \right\} + H_{ij} - H_i^k H_j^l G_{kl}$$

- ▶ In Riemannian geometry its dependence on momentum is quadratic and

$$\mathcal{R}_{ij}(q, p) = -g_{im} p^k p^l R_{klj}^m(q)$$

- ▶ In general there will be lower and higher order terms in the momenta.

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## Analogue of Ricci Tensor and the Einstein-Hilbert Action

- ▶ The contraction  $\mathcal{R}(q, p) = H^{ij}\mathcal{R}_{ij}(q, p)$  is the analogue of the Ricci **tensor**.
- ▶ Again, in Riemannian geometry it is a quadratic function of momenta,  $\mathcal{R} = p^k p^l R_{kl}$ .
- ▶ By integrating this quantity over all of phase space we get an action principle for the hamiltonian:

$$L(H) = \int H^{ij}\mathcal{R}_{ij}(q, p)e^{-H}d^n q \frac{d^n p}{(2\pi)^n}$$

- ▶ In Riemannian geometry, this reduces to the Einstein-Hilbert action

$$L(H) = \int p^k p^l R_{kl}(q)e^{-\frac{1}{2}g^{ij}p_i p_j}d^n q \frac{d^n p}{(2\pi)^n} = \int g^{ij}R_{ij}d^n q.$$

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# Einstein-Maxwell-Dilaton Theory

- ▶ We can consider the special case

$$H = \frac{1}{2} g^{kl} [p_k - A_k] [p_l - A_l] + \phi$$

- ▶ The above variational principle reduces to

$$L(g, A, \phi) = \int \left[ R + \frac{1}{4} F_{ik} F_{jl} g^{kl} g^{ij} + \Delta\phi \right] e^{-\phi} \sqrt{g} d^n q$$

- ▶ After the field redefinition  $\tilde{g}_{ij} = e^{2\alpha\phi} g_{ij}$ ,  $\alpha = -\frac{1}{n-2}$  this becomes recognizable:

$$\int \left[ \sqrt{\tilde{g}} \tilde{R} + \frac{1}{4} F_{ik} F_{jl} \tilde{g}^{kl} \tilde{g}^{ij} \sqrt{\tilde{g}} e^{-\frac{2}{n-2}\phi} + \frac{2n-1}{n-2} \sqrt{\tilde{g}} \tilde{g}^{ij} \partial_i \phi \partial_j \phi \right] d^n q$$

- ▶ The field  $\phi$  can now be identified with the dilaton;  $\tilde{g}$  is the metric of GR and  $F_{kl} = \partial_k A_l - \partial_l A_k$  is the Maxwell tensor.

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## Other Special Cases

- ▶ With flat metric and no electro-magnetic field  
 $H = \frac{1}{2}p_k p_k + \phi(q)$  the curvature is just the Hessian of  $\phi$ .

$$\mathcal{R}_{ij} = \frac{\partial^2 \phi}{\partial q^i \partial q^j}$$

- ▶ In particular, the simple harmonic oscillator has constant positive curvature.
- ▶ If you add a constant magnetic field

$$\mathcal{R}_{ij} = \frac{1}{4}F_{ik}F_{jk} + \partial_i \partial_j \phi$$

- ▶ A Penning trap is a subtle case. The potential  $\phi$  is harmonic, so cannot have a stable equilibrium. A strong enough magnetic field oriented along the unstable direction of  $\phi$  can restore stability.
- ▶ A sufficient condition for stability is that  $\mathcal{R}_{ij}$  above is a positive matrix. It is not necessary, however.

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# Thank You Bal!

- ▶ What is unique about Bal as a scientist is audacity: he would jump into any problem that interested him, swimming against the current if necessary.
- ▶ The week I arrived in Syracuse, Bal placed two stacks of papers on my desk: one on the Skyrme model and another on String Theory.
- ▶ Both were totally out of fashion in the early Eighties. But my mid-Eighties, they were all the rage; partly because of Witten's charismatic leadership.
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