

# The Low-Energy Spectrum of Quantum Yang-Mills from Gauge Matrix Model

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# Introduction

- 1 Pure Yang-Mills Theory
- 2 A New Matrix Model for Yang-Mills
- 3 Quantization and Spectrum of YM Matrix Model
- 4 Variation Estimate of Energies
- 5 Comparison with Lattice Data



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# Review of YM Theory

- What are the physical states of QCD?
- Wide implications: confinement, chiral symmetry breaking, color superconductivity, ...
- Recall that the  $SU(N)$  Yang-Mills action is

$$S = -\frac{1}{2g^2} \int d^4x \operatorname{Tr} F_{\mu\nu} F^{\mu\nu}, \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu + [A_\mu, A_\nu]$$

$$A_\mu = A_\mu^a T^a, \quad \operatorname{Tr}(T^a T^b) = \frac{1}{2} \delta^{ab}, \quad a, b = 1, \dots, N^2 - 1.$$

- The gauge symmetry

$$u \cdot A_\mu \mapsto u A_\mu u^{-1} + u \partial_\mu u^{-1}, \quad u(x) \in SU(N)$$

is actually a redundancy, and needs to be fixed.



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# Groups(s) of Gauge Transformations

- Our interest is in YM theory on  $S^3 \times \mathbb{R}$  (secretly  $\mathbb{R}^3 \times \mathbb{R}$ ).
- Temporal gauge  $A_0 = 0$ . The configuration space is based on  $A_i(x) = A_i^a(x) T^a$ .
- Group of all gauge transformations:

$$\mathcal{G} \equiv \{u : \mathbb{R}^3 \rightarrow SU(N) \mid u(\vec{r}) \rightarrow u_\infty \in SU(N) \text{ as } |\vec{r}| \rightarrow \infty\}$$

- Group of asymptotically trivial gauge transformations:

$$\mathcal{G}^\infty \equiv \{u : \mathbb{R}^3 \rightarrow SU(N) \mid u(\vec{r}) \rightarrow 1 \text{ as } |\vec{r}| \rightarrow \infty\}$$

- Group  $\mathcal{G}_0^\infty$  of asymptotically and topologically trivial gauge transformations: this is the connected component of  $\mathcal{G}^\infty$ .

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# Gauge Transformations

- Both  $\mathcal{G}^\infty$  and  $\mathcal{G}_0^\infty$  are normal subgroups of  $\mathcal{G}$ .
- Gauss law ( $\partial_i E_i + [A_i, E_i] = D_i E_i \approx 0$ ) generates  $\mathcal{G}_0^\infty$ .
- In fact  $\mathcal{G}^\infty / \mathcal{G}_0^\infty \cong \pi_3(SU(N)) = \mathbb{Z}$ .
- Representations of this  $\mathbb{Z} \ni n \rightarrow e^{in\theta}$  give the QCD  $\theta$ -states.
- The color group is  $\mathcal{G} / \mathcal{G}^\infty = SU(N)$ .
- The configuration space  $\mathcal{C}$  for local observables is  $\mathcal{A} / \mathcal{G}$ .
- This bundle is twisted.



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# Case of $SU(2)$

- The key idea: Narasimhan-Ramadas on  $SU(2)$  YM theory on  $S^3 \times \mathbb{R}$ .
- Their aim: prove rigorously that  $\mathcal{G}_0^\infty \rightarrow \mathcal{A} \rightarrow \mathcal{A}/\mathcal{G}_0^\infty$  is twisted.
- They consider a special subset of left-invariant connections

$$\omega = i(\text{Tr } \tau_i u^{-1} du) M_{ij} \tau_j, \quad u \in SU(2), M \in M_3(\mathbb{R}) \equiv \mathcal{M}_0.$$

- This connection is pulled back to spatial  $S^3$  using  $S^3 \rightarrow SU(2)$ .



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- All such  $\omega$ 's are preserved under global  $SU(2)$  adjoint action  $\omega \rightarrow v\omega v^{-1}$ , or, equivalently,  $M \rightarrow MR^T$ . ( $R$  is in image of  $v$  in  $SO(3)$ ).
- The action of  $SO(3)$  on  $\mathcal{M}_0$  is free for all matrices with rank 2 or 3.
- This gives a fibre bundle  $SO(3) \rightarrow \mathcal{M}_0 \rightarrow \mathcal{M}_0/SO(3)$ .
- Narasimhan-Ramadas show that this bundle is twisted, and hence the full gauge bundle is also twisted.
- The matrix model for  $SU(2)$  comes from this matrix  $M_{ia}$ .



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- Start with the left-invariant one-form on  $SU(3)$ :

$$\Omega = \text{Tr} \left( \frac{\lambda}{2} u^{-1} du \right) M_{ab} \lambda_b, \quad u \in SU(3).$$

- Here  $M$  is a  $8 \times 8$  real matrix.
- Map the spatial  $S^3$  diffeomorphically to  $SU(2) \subset SU(3)$ .
- $X_i \equiv$  vector fields for right action on  $SU(3)$  representing  $\lambda_i$  ( $i = 1, 2, 3$ ), then  $[X_i, X_j] = i\epsilon_{ijk} X_k$ .
- $\Omega(X_i) = -M_{ib} \frac{\lambda_b}{2}$ .
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# $SU(3)$ Yang-Mills

- The  $M$ 's parametrize a submanifold of connections  $\mathcal{A}$ .
- They have no spatial dependence: we have completely gauge-fixed the "small" gauge transformations.
- Only the global transformations are left – the ones responsible for the Gribov problem.
- Global color  $SU(3)$  acts on the vector potential:

$$A_j \rightarrow h A_j h^{-1}, \quad \text{or} \quad M \rightarrow M (Ad h)^T, \quad h \in SU(3)$$

- For  $SU(3)$ , the  $M$ 's are  $3 \times 8$  matrices.
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# $SU(3)$ Yang-Mills

- The  $M$ 's parametrize a submanifold of connections  $\mathcal{A}$ .
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# Configuration space of $SU(3)$ YM Matrix Model

- The configuration space  $\mathcal{C}$  for pure  $SU(3)$  is  $M_{3,8}(\mathbb{R})/Ad SU(3)$ .
- This space has dimension  $3 \cdot 8 - 8 = 16$  (not so at fixed points).
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# Quantization of the Matrix Model

- Recall that the YM Hamiltonian is

$$H = \frac{1}{2} \int d^3x \operatorname{Tr} \left( g^2 E_i E_i + \frac{1}{g^2} F_{ij}^2 \right).$$

- For the matrix model,  $M_{ia}$  are the dynamical variables, and the (Legendre transform of)  $\frac{dM_{ia}}{dt}$  as the conjugate of  $M_{ia}$ .
- We identify this conjugate operator as the matrix model chromoelectric field  $E_{ia}$ .
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$$H = \frac{1}{R} \left( \frac{g^2 E_{ia} E_{ia}}{2} + V(M) \right) = \frac{1}{R} \left( -\frac{g^2}{2} \sum_{i,a} \frac{\partial^2}{\partial M_{ia}^2} + V(M) \right)$$

- The overall factor of  $R$  comes from dimensional analysis.
- The Gauss' law constraint:  $[G_a, \mathcal{O}] \equiv [f_{abc} M_{ib} E_{ic}, \mathcal{O}] = 0$  for all observables  $\mathcal{O}$ .
- The physical states  $|\psi_{phys}\rangle$  are given by  $G_a |\psi_{phys}\rangle = 0$ .
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# Spectrum of $H$

- $$H = H_0 + \frac{1}{R} V_{int}(M) = \frac{1}{R} \left( -\frac{1}{2} \frac{\partial^2}{\partial M_{ia}^2} + \frac{1}{2} M_{ia} M_{ia} \right) + \frac{1}{R} \left( -\frac{g}{2} \epsilon_{ijk} f_{abc} M_{ia} M_{jb} M_{kc} + \frac{g^2}{4} f_{abc} f_{ade} M_{ib} M_{jc} M_{id} M_{je} \right)$$
- The interaction has a cubic term and a quartic term.
- The potential grows quartically, and is smooth everywhere.
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# Zero-point Energy

- The  $H$  only accounts for the classical zero-mode sector of the full theory.
- The full QFT contributes an extra constant to the energy.
- It comes from zero-point energy of all the higher, spatially dependent modes.
- We can account for this by working with

$$H + \frac{c(R)}{R}$$

- The  $R$ -dependence of  $c$  comes from renormalization .
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# Variational Computational Scheme

- Trial wavefunctions are linear combinations of eigenstates of  $H_0$ .
- Angular momentum ( $L_i = \epsilon_{ijk} M_{ja} E_{ka}$ ) commutes with the Hamiltonian.
- Organize the eigenstates and energies by their spins  $s$ .
- We consider 16 variational states with spin-0, 10 triplets with spin-1, and 18 quintuplets with spin-2.
- Express the cubic and quartic interaction terms in terms of the creation/annihilation operators.
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# Parity $P$ and Charge Conjugation $C$

- We need to assign  $P$  and  $C$  to the variational eigenstates.
- Under  $C : M_{ia} T_a \rightarrow M_{ia} T_a^*$ .
- $C$  is a good symmetry of  $H$  and can be assigned unambiguously.
- $P$  poses a slight problem, because  $P : M_{ia} \rightarrow -M_{ia}$ , but the cubic term in  $H$  flips in sign under  $P$ .
- In the large  $R$  limit, the expectation value of  $P$  in a variational eigenstate asymptotes to  $\pm 1$ .
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# The large $R$ limit

- For a given  $s$ , the energies are of the form  $\mathcal{E}_n[s] = \frac{f_n^{(s)}(g) + c(R)}{R}$ , measured in units of  $R^{-1}$ .
- Neither  $R$  nor the bare coupling  $g$  are directly measurable.
- Energy differences depend on  $g$  and  $R$ , but not on  $c$ .
- Ratios of energy differences depend only on  $g$ .
- For fixed  $g$ , all the  $\mathcal{E}_n[s]$  vanish in the ‘flat space’ limit  $R \rightarrow \infty$ . (an analogous situation occurs in lattice computations as well).
- But masses of physical particles must be computed in this limit!



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- For a given  $s$ , the energies are of the form  $\mathcal{E}_n[s] = \frac{f_n^{(s)}(g) + c(R)}{R}$ , measured in units of  $R^{-1}$ .
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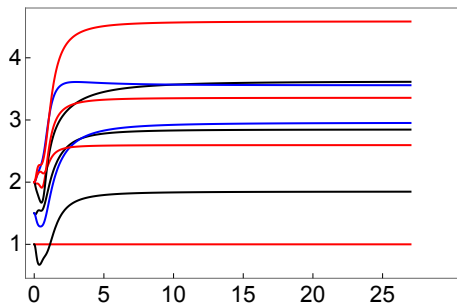
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# Mass Difference Ratios

- Ratios of mass differences are **independent of both**  $x(g)$  and  $c(x)$ .

Ratios of mass differences  $\frac{\varepsilon(X) - \varepsilon(0^{++})}{\varepsilon(2^{++}) - \varepsilon(0^{++})}$  as a function of  $g$ . (The black, blue and red curves represent spin-0, spin-1 and spin-2 levels respectively.)



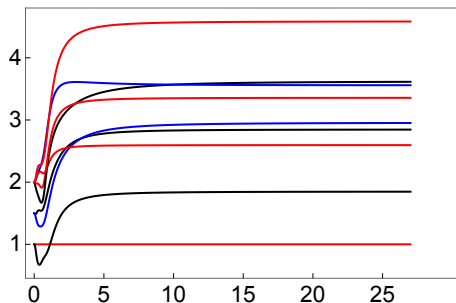
- $X(J^{PC}) = 2^{++}, 0^{-+}, 2^{-+}, 0^{*++}, 1^{+-}, 2^{*-+}, 1^{--}, 0^{*-+}, 2^{--}$ .



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# Integrated Renormalization Group Equation

- To get meaningful results, make  $g$  a function of  $R$  such that all energies have well-defined (and non-zero) values at  $R = \infty$ .
- Measure the energies in some other units (like, say, MeV), not in units of  $1/R$ .
- The radius of  $S^3$  is now  $x = R/\ell$  in these units.
- Then  $\mathcal{E}_n[s] = \left( \frac{f_n^{(s)}(g)}{x} + \frac{c(x)}{x} \right) \frac{1}{\ell}$ .
- Make  $g = g(x)$  by fixing  $\mathcal{E}_0[2] - \mathcal{E}_0[0]$  to the observed (lattice) value.
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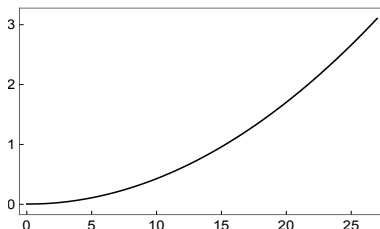
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# Integrated Renormalization Group Equation

- In practice it is easier to make  $x(g) = \frac{\varepsilon_0[2] - \varepsilon_0[0]}{m(2^{++}) - m(0^{++})}$ .

$x(g)$  versus  $g$ .



- Here we have used  $m(2^{++}) - m(0^{++}) = 460$  MeV.

- **Actual numerical values of masses also need asymptotic  $c(x)/x$ .**
- To fix this, demand that the physical mass of our lowest glueball be fixed to be within the range predicted by lattice simulations (1580 – 1840 MeV).
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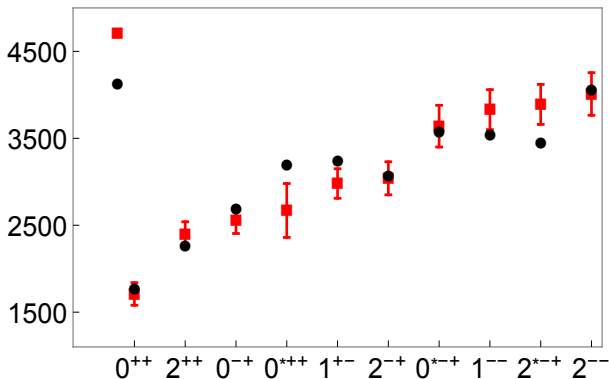


Glueball states $J^{PC}$	Physical masses from matrix model (MeV)	Physical masses from lattice QCD (MeV)
$0^{++}$	1757.08 <sup>†</sup>	1580 - 1840
$2^{++}$	2257.08 <sup>†</sup>	2240 - 2540
$0^{-+}$	2681.45	2405 - 2715
$0^{*++}$	3180.82	2360 - 2980
$1^{+-}$	3235.41	2810 - 3150
$2^{-+}$	3054.97	2850 - 3230
$0^{*-+}$	3568.02	3400 - 3880
$1^{--}$	3535.66	3600 - 4060
$2^{*-+}$	3435.75	3660 - 4120
$2^{--}$	4050.14	3765 - 4255

<sup>†</sup>  $\equiv$  (input)



## Glueball Masses (MeV)



■ ≡ Lattice   ● ≡ Matrix Model.  $0^{++}$  and  $2^{++}$  are used in Matrix Model input.

For  $0^{*++}$ , lattice has poor statistics near the continuum limit, so finite volume effects are substantial.

For  $2^{*-+}$ , lattice has large errors due to the presence of two other glueball states in the vicinity.

# Summary

- A natural reduction of  $SU(N)$  YM on  $S^3 \times \mathbb{R}$  to a matrix model.
- It captures the non-trivial topological character of the full gauge bundle.
- The matrix model based on  $M_{3, N^2-1}(\mathbb{R})$ .
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# Ongoing Work and Outlook

- Investigate the glueball spectrum for  $SU(4)$ ,  $SU(5)$ ,  $SU(6)$ ,  $\dots$ .
- Include fermions (quarks), and try to get the masses of light hadrons.
- Include the  $\theta$ -term, and compute topological susceptibility  $\chi_t$ .
- Relation between  $\chi_t$  and the mass of  $\eta'$ .

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## Spin-1

$$\begin{aligned}
|\psi_1^1\rangle &= d_{abc} A_{jb}^\dagger A_{jc}^\dagger A_{ia}^\dagger |0\rangle \\
|\psi_2^1\rangle &= \epsilon_{jkl} d_{ab_1 c_1} f_{ab_2 c_2} A_{ib_1}^\dagger A_{jc_1}^\dagger A_{kb_2}^\dagger A_{lc_2}^\dagger |0\rangle \\
|\psi_3^1\rangle &= d_{ace} A_{ia}^\dagger A_{jb}^\dagger A_{jb}^\dagger A_{kc}^\dagger A_{ke}^\dagger |0\rangle \\
|\psi_4^1\rangle &= d_{ace} A_{ib}^\dagger A_{jb}^\dagger A_{ja}^\dagger A_{kc}^\dagger A_{ke}^\dagger |0\rangle \\
|\psi_5^1\rangle &= d_{ace} A_{ia}^\dagger A_{jb}^\dagger A_{jc}^\dagger A_{ke}^\dagger A_{kb}^\dagger |0\rangle \\
|\psi_6^1\rangle &= d_{abc} f_{bc_1 b_2} f_{cc_2 b_1} A_{ia}^\dagger A_{jb_1}^\dagger A_{jc_1}^\dagger A_{kb_2}^\dagger A_{kc_2}^\dagger |0\rangle \\
|\psi_7^1\rangle &= \epsilon_{jkl} d_{abc} f_{ade} A_{ib}^\dagger A_{jc}^\dagger A_{kd}^\dagger A_{le}^\dagger A_{i_1 a_1}^\dagger A_{i_1 a_1}^\dagger |0\rangle \\
|\psi_8^1\rangle &= \epsilon_{jkl} d_{ab_1 c_1} f_{aa_2 b_2} A_{ia_1}^\dagger A_{i_1 a_1}^\dagger A_{i_1 b_1}^\dagger A_{jc_1}^\dagger A_{ka_2}^\dagger A_{lb_2}^\dagger |0\rangle \\
|\psi_9^1\rangle &= \epsilon_{ijk} d_{ab_1 c_1} d_{aa_2 b_2} A_{ja_1}^\dagger A_{i_1 a_1}^\dagger A_{i_1 b_1}^\dagger A_{kc_1}^\dagger A_{la_2}^\dagger A_{lb_2}^\dagger |0\rangle \\
|\psi_{10}^1\rangle &= \epsilon_{ijk} d_{ab_1 c_1} f_{bb_2 c_2} A_{i_1 b_1}^\dagger A_{i_1 c_1}^\dagger A_{ia}^\dagger A_{ib}^\dagger A_{jb_2}^\dagger A_{kc_2}^\dagger |0\rangle
\end{aligned}$$



$$\begin{aligned}
|\psi_1^2\rangle &= (A_{ia}^\dagger A_{ja}^\dagger - \frac{1}{3} \delta_{ij} A_{ia}^\dagger A_{ia}^\dagger) |0\rangle \\
|\psi_2^2\rangle &= A_{i_1 a_1}^\dagger A_{i_1 a_1}^\dagger (A_{ia_2}^\dagger A_{ja_2}^\dagger - \frac{1}{3} \delta_{ij} A_{i_2 a_2}^\dagger A_{i_2 a_2}^\dagger) |0\rangle \\
|\psi_3^2\rangle &= (A_{ia_1}^\dagger A_{i_1 a_1}^\dagger A_{i_1 b_1}^\dagger A_{j b_1}^\dagger - \frac{1}{3} \delta_{ij} A_{ia_1}^\dagger A_{i_1 a_1}^\dagger A_{i_1 b_1}^\dagger A_{i_1 b_1}^\dagger) |0\rangle \\
|\psi_4^2\rangle &= d_{abc} d_{ade} A_{i_1 b}^\dagger A_{i_1 c}^\dagger (A_{id}^\dagger A_{je}^\dagger - \frac{1}{3} \delta_{ij} A_{id}^\dagger A_{ie}^\dagger) |0\rangle \\
|\psi_5^2\rangle &= A_{i_1 a_1}^\dagger A_{i_1 a_1}^\dagger (A_{ia}^\dagger A_{ja}^\dagger - \frac{1}{3} \delta_{ij} A_{ia}^\dagger A_{ia}^\dagger) |0\rangle \\
|\psi_6^2\rangle &= \frac{1}{2} d_{abc} (\epsilon_{ikl} A_{ja_1}^\dagger A_{ka_1}^\dagger + \epsilon_{jkl} A_{ia_1}^\dagger A_{ka_1}^\dagger) A_{ia}^\dagger A_{mb}^\dagger A_{mc}^\dagger |0\rangle \\
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|\psi_8^2\rangle &= \epsilon_{klm} f_{abc} d_{a_1 a} d_{a_2 b_2} A_{ka_1}^\dagger A_{lb}^\dagger A_{mc}^\dagger (A_{ia_2}^\dagger A_{jb_2}^\dagger - \frac{1}{3} \delta_{ij} A_{i_2 a_2}^\dagger A_{i_2 b_2}^\dagger) |0\rangle \\
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|\psi_{11}^2\rangle &= d_{ab_1 c_1} d_{ab_2 c_2} A_{i_1 b_1}^\dagger A_{i_1 c_1}^\dagger A_{i_2 b_2}^\dagger A_{i_2 c_2}^\dagger (A_{ia}^\dagger A_{ja}^\dagger - \frac{1}{3} \delta_{ij} A_{ia}^\dagger A_{ia}^\dagger) |0\rangle \\
|\psi_{12}^2\rangle &= A_{i_1 a_1}^\dagger A_{i_1 a_1}^\dagger (A_{ia_2}^\dagger A_{i_2 a_2}^\dagger A_{i_2 b_2}^\dagger A_{j b_2}^\dagger - A_{ia_2}^\dagger A_{i_2 a_2}^\dagger A_{i_2 b_2}^\dagger A_{i_2 b_2}^\dagger) |0\rangle \\
|\psi_{13}^2\rangle &= d_{aa_2 b_2} d_{ac_2 e_2} A_{i_1 a_1}^\dagger A_{i_1 a_1}^\dagger A_{i_2 a_2}^\dagger A_{i_2 b_2}^\dagger (A_{ic_2}^\dagger A_{jd_2}^\dagger - \frac{1}{3} \delta_{ij} A_{ic_2}^\dagger A_{id_2}^\dagger) |0\rangle \\
|\psi_{14}^2\rangle &= \frac{1}{2} (\epsilon_{ikl} A_{jb}^\dagger A_{kb}^\dagger + \epsilon_{jkl} A_{ib}^\dagger A_{kb}^\dagger) \epsilon_{mnp} d_{ab_1 c_1} f_{bb_2 c_2} A_{lb_1}^\dagger A_{mc_1}^\dagger A_{nb_2}^\dagger A_{pc_2}^\dagger |0\rangle \\
|\psi_{15}^2\rangle &= d_{ab_1 c_1} d_{ab_2 c_2} A_{lb_1}^\dagger A_{ic_1}^\dagger A_{mb_2}^\dagger A_{mc_2}^\dagger (\frac{1}{2} (A_{ia}^\dagger A_{jb}^\dagger + A_{ja}^\dagger A_{ib}^\dagger) - \frac{1}{3} \delta_{ij} A_{ia_2}^\dagger A_{ic_2}^\dagger) |0\rangle \\
|\psi_{16}^2\rangle &= d_{ab_1 c_1} d_{bb_2 c_2} A_{i_1 a}^\dagger A_{i_1 b}^\dagger A_{j_1 b_1}^\dagger A_{j_1 c_1}^\dagger (A_{ia}^\dagger A_{jb}^\dagger - \frac{1}{3} \delta_{ij} A_{ia}^\dagger A_{ib}^\dagger) |0\rangle \\
|\psi_{17}^2\rangle &= d_{aa_2 b_2} d_{bc_2 a_1} A_{i_1 a_1}^\dagger A_{i_1 a_2}^\dagger A_{j_1 b_2}^\dagger A_{j_1 c_2}^\dagger (A_{ia}^\dagger A_{jb}^\dagger - \frac{1}{3} \delta_{ij} A_{ia}^\dagger A_{ib}^\dagger) |0\rangle \\
|\psi_{18}^2\rangle &= d_{ab_1 c_1} d_{aa_2 b_2} f_{bb_2 c_2} A_{i_1 b_1}^\dagger A_{i_1 c_1}^\dagger A_{i_2 c_2}^\dagger A_{i_2 d_2}^\dagger (A_{ia_2}^\dagger A_{je_2}^\dagger - \frac{1}{3} \delta_{ij} A_{ia_2}^\dagger A_{ie_2}^\dagger) |0\rangle
\end{aligned}$$



# New Identities

We discovered some (new?) identities involving  $3 \times 8$  matrices:

$$\begin{aligned} \text{Tr}(M^T M D_a M^T M D_a) &= -\frac{1}{2} \text{Tr}(M^T M D_a) \text{Tr}(M^T M D_a) \\ &+ \frac{2}{3} \text{Tr}(M^T M M^T M) + \frac{1}{3} \text{Tr}(M^T M)^2 \\ \epsilon_{ijk} f_{abc} M_{ia} M_{jb} (M M^T M)_{kc} &= \frac{1}{3} \epsilon_{ijk} f_{abc} M_{ia} M_{jb} M_{kc} \text{Tr}(M^T M) \end{aligned}$$

where  $(D_a)_{bc} \equiv d_{abc}$ .

