

4d $\mathcal{N} = 2$ supergravity observables from Nekrasov-like partition functions

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DIAS

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Based on..

- ▶ Main conjecture - 2111.06903, implication - 2204.02992
- ▶ General rotating BPS black holes in AdS_4 - *[KH, Katmadas, Toldo'18-19]*
- ▶ Gravitational building blocks - *[Hosseini, KH, Zaffaroni'19]*
- ▶ Higher derivative asymptotically AdS_4 backgrounds - *[Bobev, Charles, KH, Reys'20-21]*
- ▶ Supergravity localization - *[KH, Lodato, Reys'18-19], [KH, Reys'21]*

Main message

- ▶ Structure of supersymmetric observables in 4d $\mathcal{N} = 2$ supergravity in precise analogy with the one in 4d $\mathcal{N} = 2$ field theory.

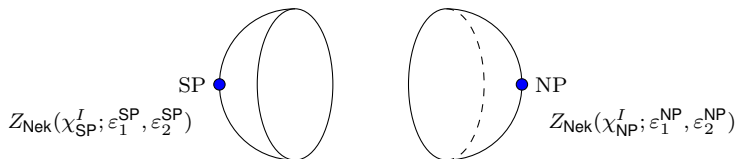
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- ▶ Nekrasov partition function \rightarrow gravitational Nekrasov-like partition function as a basic building block.

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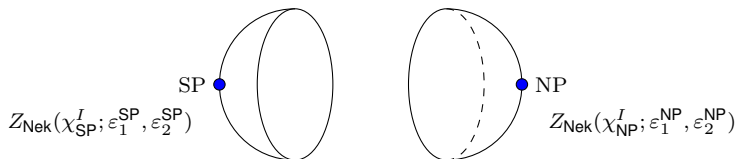
- ▶ Structure of supersymmetric observables in 4d $\mathcal{N} = 2$ supergravity in precise analogy with the one in 4d $\mathcal{N} = 2$ field theory.
- ▶ Nekrasov partition function \rightarrow gravitational Nekrasov-like partition function as a basic building block.
- ▶ Agreement with holographically dual results for 3d $\mathcal{N} = 2$ SCFTs.

Field theory localization



- ▶ Ω -deformation: exact evaluation of the partition function on \mathbb{C}^2 , $Z_{\text{Nek}} - \varepsilon_{1,2}$ deformation parameters, χ^I Coulomb branch parameters, [Nekrasov'02]. $\varepsilon_1 \varepsilon_2 \log Z_{\text{Nek}}$ - expansion in $\varepsilon_{1,2}$.

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- ▶ “Gluing” copies of Z_{Nek} on fixed points σ to reproduce many localization results, [Nekrasov'03], [Pestun'07].

$$Z = \int \prod_I d\chi^I \prod_{\sigma} Z_{\text{Nek}}(\chi_{\sigma}^I; \varepsilon_1^{\sigma}, \varepsilon_2^{\sigma})$$

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- ▶ On-shell action $\mathcal{F}(M_4) = -\log Z(M_4)$ localizes on the fixed point set of ξ_{M_4} . Works for AIAdS₄ examples in 2∂ minimal gauged sugra [[Genolini, Ipiña, Sparks'19](#)], and 2∂ matter-coupled black holes [[Hosseini, KH, Zaffaroni'19](#)].

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only the ratio ω is physical in sugra (difference with rigid susy).

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- ▶ Here: extend to higher derivative $\mathcal{N} = 2$ sugra with $U(1)$ vector multiplets, build intuition with more examples.

Plan of the talk

- ▶ Introduction ✓
- ▶ Higher derivative supergravity formalism
- ▶ Formulation of the conjecture
- ▶ BPS black holes in Minkowski
- ▶ AdS_4 space
- ▶ Static/rotating BPS black holes in AdS_4
- ▶ Conclusions

Higher derivative supergravity

- ▶ The formalism of $4d \mathcal{N} = 2$ superconformal gravity [de Wit, van Proeyen et al'80-84] allows for the construction of large classes of HD terms with $\geq 4\partial$.
- ▶ F -terms from (anti-)chiral superspace integrals, correcting the 2∂ prepotential, D -terms from full superspace integrals, correcting the 2∂ Kähler potential.

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- ▶ A number of different auxiliary multiplets allow for different off-shell formulations.
- ▶ Assume (physical) hypermultiplets are decoupled - consider only extra abelian vector multiplets.
- ▶ Argue that D -terms vanish on susy backgrounds, consider only F -terms

Bosonic field content and HD invariants

- ▶ Weyl multiplet: vielbein e_μ^a , auxiliary $U(1) \times SU(2)$ R-symmetry gauge fields $A_\mu, \mathcal{V}_\mu^{ij}$, auxiliary tensor T_{ab}^\pm , auxiliary scalar D .

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- ▶ Aux. hypermultiplet: four real scalars A_i^α , gauging of a $U(1)$ subgroup of the $SU(2)_R$ via the combination $g_I W_\mu^I$, constant FI parameters g_I . Limit to ungauged sugra: $g_I = 0$.

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- ▶ Two different 4∂ F -terms: the Weyl² [Bergshoeff, de Roo, de Wit'81] and the T-log [Butter, de Wit, Kuzenko, Lodato'13] invariants. Defined via composite chiral multiplets with lowest components A_W and A_T .

HD Lagrangian

- ▶ HD invariants encoded in the holomorphic prepotential

$$F(X^I; A_{\mathbb{W}}, A_{\mathbb{T}}) := \sum_{m,n=0}^{\infty} F^{(m,n)}(X^I) (A_{\mathbb{W}})^m (A_{\mathbb{T}})^n . \quad (1)$$

- ▶ Lagrangian specified by the choice for $F(X^I; A_{\mathbb{W}}, A_{\mathbb{T}})$ and gauging g_I - 4∂ theory *off-shell*, an infinite derivative expansion *on-shell* ($A_{\mathbb{W},\mathbb{T}} \sim 2\partial$).

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- ▶ $F^{(0,0)}(X^I) := F_{2\partial}(X^I)$ homogeneous of degree 2, leading to the standard 2-derivative abelian gauged supergravity.
- ▶ Higher order terms $F^{(m,n)}(X^I)$: homogeneous of degree $2(1 - m - n)$ ($A_{\mathbb{W},\mathbb{T}}$ of weight 2), giving rise to terms with $2(1 + m + n)$ derivatives.

Conjecture, part I: the on-shell action

- ▶ On-shell action,

$$\mathcal{F}(M_4, \chi^I, \omega) = \sum_{\sigma \in M_4} s_{(\sigma)} \mathcal{B}(\kappa^{-1} X^I_{(\sigma)}(\chi^I, \omega), \omega_{(\sigma)}(\omega)) , \quad (2)$$
$$\mathcal{B}(X^I, \omega) := \frac{4i\pi^2 F(X^I; (1-\omega)^2, (1+\omega)^2)}{\omega} ,$$

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- ▶ *Gluing rules*: the identification $X_{(\sigma)}^I(\chi^I, \omega)$ and $\omega_{(\sigma)}(\omega)$ at the different fixed points, specific to each different susy background.
- ▶ Additional constraint $\lambda^{M_4}(g_I, \chi^I, \omega) = 0$, restoring the correct number of Coulomb branch parameters (one aux. v.m.).

Conjecture, part I: the entropy function

- ▶ For black hole solutions, χ^I conjugate to q_I , ω conjugate to \mathcal{J} :

$$\mathcal{I}(M_4, \chi^I, \omega, q_I, \mathcal{J}) = -\mathcal{F}(M_4, \chi^I, \omega) - \frac{8i\pi^2}{\kappa^2}(\chi^I q_I - \omega \mathcal{J}), \quad (3)$$

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- ▶ Recover the BH entropy via extremization,

$$S_{\text{BH}}(M_4, q_I, \mathcal{J}) = \mathcal{I}(M_4, \chi^I \Big|_{\text{crit.}}, \omega \Big|_{\text{crit.}}, q_I, \mathcal{J}) \in \mathbb{R}, \quad (4)$$

with a resulting constraint

$$\hat{\lambda}^{M_4}(g_I, q_I, \mathcal{J}) := \text{Im} \left(\mathcal{I}(M_4, \chi^I \Big|_{\text{crit.}}, \omega \Big|_{\text{crit.}}, q_I, \mathcal{J}) \right) = 0.$$

Conjecture, part II: the partition function

- ▶ A gravitational Nekrasov partition function

$$Z_{\text{Nek}}^{\text{sugra}}(X^I, \omega) := \exp\left(-\frac{4i\pi^2 F(\kappa^{-1} X^I; (1-\omega)^2, (1+\omega)^2)}{\omega}\right),$$

corrected in a UV complete theory

$$Z_{\text{Nek}}(X^I, \omega) := Z_{\text{Nek}}^{\text{sugra}}(X^I, \omega) Z_{\text{Nek}}^{\text{UV}}(X^I, \omega).$$

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- ▶ Grand-canonical partition function via gluing rules

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- ▶ Microcanonical partition function / Quantum entropy function

$$Z(M_4, q_I, \mathcal{J}) := \int d\chi^I d\omega \delta(\lambda(g_I, \chi^I, \omega)) e^{-\frac{8i\pi^2}{\kappa^2}(\chi^I q_I - \omega \mathcal{J})} Z(M_4, \chi^I, \omega).$$

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BPS black holes in Minkowski

- ▶ 2∂ ungauged supergravity,

$$F_{2\partial} = -\frac{1}{6} c_{ijk} \frac{X^i X^j X^k}{X^0}, \quad g_I = 0, \quad I = \{0, i\}.$$

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- ▶ Half-BPS flow between asymptotic Minkowski and $\text{AdS}_2 \times \text{S}^2$ near-horizon (NH) geometry,

$$ds^2 = v_1 ds_{\text{AdS}_2}^2 + v_2 ds_{\text{S}^2}^2.$$

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- ▶ Fully BPS horizon, $v_1 = v_2$ - Bertotti-Robinson spacetime, $SU(1, 1|2)$ symmetry.
- ▶ Fixed points of the canonical isometry: centre of AdS_2 and SP/NP of the sphere

$$\xi = -\partial_\tau + \partial_\varphi,$$

$$\Rightarrow \omega_{\text{SP}} = \omega_{\text{NP}} = \omega = -1, \quad s_{\text{SP}} = -s_{\text{NP}} = 1.$$

BPS black holes in Minkowski: attractor mechanism

- ▶ Scalars fixed at the horizon, *[Ferrara, Kallosh'96]*

$$\frac{1}{2} (e^{i\alpha} X^I + e^{-i\alpha} \bar{X}^I) = p^I, \quad \frac{1}{2} (e^{i\alpha} F_I + e^{-i\alpha} \bar{F}_I) = q_I .$$

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- In a mixed ensemble, [*Ooguri, Strominger, Vafa'04*],

$$e^{i\alpha} X^I = p^I + \frac{i}{\pi} \phi^I ,$$

ϕ^I conjugate to q_I ,

$$\mathcal{F}_{\text{OSV}}(\phi^I, p^I) = \frac{i\pi}{2G_N^{(4)}} \left(F_{2\partial}(p^I + \frac{i}{\pi} \phi^I) - F_{2\partial}(p^I - \frac{i}{\pi} \phi^I) \right) ,$$

$$\mathcal{I}_{\text{OSV}}(\phi^I, p^I, q_I) = \mathcal{F}_{\text{OSV}}(\phi^I, p^I) + \frac{1}{G_N^{(4)}} \phi^I q_I .$$

Attractor mechanism from gluing

- ▶ Gluing rule: 2 fixed points with constraint $\omega = -1$, $s_{(1,2)} = \pm 1$,

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- ▶ Resulting on-shell action/entropy function

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- ▶ Precise match with OSV form upon $\phi^I = -i\pi \chi^I$.

Higher derivative generalization

- ▶ HD version of the Bertotti-Robinson, [*Cardoso, de Wit, Mohaupt'98-99*]. Full HD on-shell action,

$$\mathcal{F}_{\text{Osv}}(\phi^I, p^I) = -8\pi^2 \text{Im} \left(F\left(p^I + \frac{i}{\pi} \phi^I; 4, 0\right) \right) .$$

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 - infer the explicit form of the \mathbb{W} tower $F^{(m,0)}$:

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- ▶ Part II of the conjecture - agreement with [*Denef, Moore'07*] and sugra localization [*Dabholkar, Gomes, Murthy'10-11*].:

$$Z(p^I, q_I) := \int \left(\prod_{I=0}^{n_V} d\chi^I \right) e^{-\mathcal{F}(\chi^I, p^I) - \frac{8i\pi^2}{\kappa^2} \chi^I q_I} Z^{\text{UV}}(\chi^I, p^I) .$$

AdS₄ space

- ▶ 2 ∂ gauged supergravity, from 11d on S⁷

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- ▶ A half-BPS generalization with running scalars, radial flow with gradually shrinking S³ slices in the bulk. [*Freedman, Pufu'13*]

AdS₄ space

- ▶ 2d gauged supergravity, from 11d on S⁷

$$F_{2\partial} = -2i\sqrt{X^0 X^1 X^2 X^3}, \quad g_I = 1, \forall I.$$

- ▶ Fully BPS (Euclidean) AdS₄ vacuum, choose round S³ boundary,

$$X^I = \frac{1}{4}, \forall I.$$

- ▶ A half-BPS generalization with running scalars, radial flow with gradually shrinking S³ slices in the bulk. [\[Freedman, Pufu'13\]](#)
- ▶ Single fixed point: centre of AdS₄

$$\xi = \partial_\tau + \partial_\varphi, \quad \Rightarrow \quad \omega = 1, \quad s = 1.$$

Holographic (squashed) sphere

- ▶ On-shell action from “gluing”, $X^I = 2\chi^I$

$$\mathcal{F}(S^3, \chi^I, \omega = 1) = 4i\pi^2 F(2\kappa^{-1} \chi^I; 0, 4) .$$

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- ▶ Agreement with 4∂ minimal sugra results in [\[Bobev, Charles, KH, Reys'20-21\]](#).

Holographic bootstrap

- ▶ Exact results for round/squashed sphere of ABJM theory from susy localization - *[Fuji et al'11], [Marino, Putrov'11], [Nosaka'15], [Hatsuda'16], [Chester et al'21]*.

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- ▶ Exact results for round/squashed sphere of ABJM theory from susy localization - [Fuji et al'11], [Marino, Putrov'11], [Nosaka'15], [Hatsuda'16], [Chester et al'21].
- ▶ Match with supergravity conjecture fixes the higher derivative prepotential *uniquely*:

$$F = -2i\sqrt{X^0 X^1 X^2 X^3} \sum_{n=0}^{\infty} f_n \left(\frac{k_{\mathbb{W}}(X)A_{\mathbb{W}} + k_{\mathbb{T}}(X)A_{\mathbb{T}}}{64 X^0 X^1 X^2 X^3} \right)^n ,$$

$$k_{\mathbb{W}}(X) = -2 \sum_{I < J} X^I X^J , \quad k_{\mathbb{T}}(X) = \sum_I (X^I)^2 + \dots ,$$

$$\frac{2\pi f_n}{(8\pi G_N)^{2(1-n)}} = \left(\frac{(2n-5)!! 3}{n! (6k)^n} \right) \frac{\sqrt{2k}}{3} \left(N - \frac{k}{24} \right)^{3/2-n} .$$

Airy function

- ▶ Supergravity prediction generalizes available matrix model results, complete perturbative answer (field theory parametrization $\chi^I = \frac{1}{2}\Delta_i$):

$$Z_{S^3}(b; \Delta_i) \simeq \exp\left(-\frac{2}{3} C_{S^3}^{-1/2} \left(N - \frac{k}{24} - B_{S^3}\right)^{3/2}\right),$$

$$C_{S^3} = \frac{2(b + b^{-1})^{-4}}{\pi^2 k \prod_i \Delta_i}, \quad B_{S^3} = \frac{1}{48k \prod_i \Delta_i} \left(k_{\mathbb{T}}(\Delta) + \frac{(b - b^{-1})^2}{(b + b^{-1})^2} k_{\mathbb{W}}(\Delta) \right),$$

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- ▶ Subleading corrections interpreted as the UV completion. Single fixed point = Airy function.

Black holes in AdS₄: twisted branch

- ▶ Rotating black holes, susy with a twist, NH: AdS₂ ×_ω S²,
[Cacciatori, Klemm'09], [KH, Katmadas, Toldo'18]. 2 fixed points,
[Hosseini, KH, Zaffaroni'19], $g_I \chi^I = 1$, $\sum_I p^I = -1$,

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- ▶ Prediction for the partition function (topologically twisted index):

$$Z_{\text{TPI}}(\mathbf{n}_i, \omega, \Delta_i) \simeq \text{Ai}[C_+^{-1/3}(N - B_+^0)] \times \text{Bi}[C_-^{-1/3}(N - B_-^1)],$$

$$C_{\pm} = \frac{2\omega^2}{\pi^2 k \prod_i (\Delta_i \pm \omega \mathbf{n}_i)},$$

$$B_{\pm}^s = \frac{k}{24} + \frac{(\omega + (-1)^s)^2 k_{\mathbb{T}}(\Delta \pm \omega \mathbf{n}_i) + (\omega - (-1)^s)^2 k_{\mathbb{W}}(\Delta \pm \omega \mathbf{n}_i)}{48k \prod_i (\Delta_i \pm \omega \mathbf{n}_i)}.$$

Black holes in AdS_4 : static limit of twisted branch

- ▶ Asymptotic expansion of the Airy functions,

$$\text{Ai/Bi}(z) \sim \frac{e^{\mp 2/3 z^{3/2}}}{2\sqrt{\pi}z^{1/4}} \left[\sum_{n=0}^{\infty} \frac{(\mp 1)^n 3^n \Gamma(n + \frac{5}{6}) \Gamma(n + \frac{1}{6})}{2\pi n! 4^n z^{3n/2}} \right].$$

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$$-\log Z_{\text{TfI}}^{\text{unref}} \simeq \frac{\pi \sqrt{2k \prod_i \Delta_i}}{3} \left(\sum_i \frac{n_i}{\Delta_i} (N_{k,\Delta} - k_i) \right) N_{k,\Delta}^{1/2} + \frac{1}{2} \log N_{k,\Delta},$$

$$N_{k,\Delta} := N - \frac{k}{24} + \frac{\sum_i (\Delta_i)^{-1}}{12k}, \quad k_i := \frac{(2 - \Delta_i) \prod_{j \neq i} (\Delta_i + \Delta_j)}{8k \Delta_1 \Delta_2 \Delta_3 \Delta_4}.$$

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- ▶ Precise agreement with numerical matrix model result in [\[Bobev, Hong, Reys'22\]](#).

Black holes in AdS_4 : non-twisted branch

- ▶ Kerr-Newman-like black holes, no twist, NH: $\text{AdS}_2 \times_w \text{S}^2$, [KH, Katmadas, Toldo'19]. 2 fixed points, [Hosseini, KH, Zaffaroni'19],
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- ▶ Prediction for the partition function (superconformal index):

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- ▶ Admit Cardy limit $\omega \rightarrow 0$ (subleading magnetic charges):

$$-\log Z_{\text{SCI}}^{\text{Cardy}} \simeq \frac{2\pi\sqrt{2k\Delta_1\Delta_2\Delta_3\Delta_4}}{3\omega} N_{k,\Delta}^{3/2} + \frac{1}{2} \log N_{k,\Delta} , .$$

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- ▶ A general proposal for the UV completed form of supersymmetric partition functions.
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- ▶ Sugra observables closely follow from the structure of susy field theory observables.

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- ▶ Use the conjecture to prove AdS/CFT for supersymmetric observables? Lessons for non-susy quantum gravity? ...

Míle buíochas!